



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
NEW YORK REGIONAL OFFICE
3 WORLD FINANCIAL CENTER, SUITE 400
NEW YORK, NY 10281

June 30, 2016

VIA ECF

Hon. Valerie E. Caproni
United States District Judge
United States Courthouse
40 Foley Square
New York, NY 10007

Re: SEC v. Davis, et al., (14-CV-1528) (VEC) (S.D.N.Y.)

Dear Judge Caproni:

I am writing on behalf of Plaintiff Securities and Exchange Commission (“Commission”) to update the Court on the status of the parallel criminal matter, People v. Davis, et al., Ind. 773/2014 (Supreme Court, New York County), pursuant to the Court’s order dated February 24, 2016.

The court in the criminal trial is scheduled to rule on motions *in limine* in August 2016, and has adjourned the trial until January 2017.

Please feel free to contact us if you have any questions or need additional information.

Sincerely,

s/ Howard Fischer
Howard Fischer
Attorney for Plaintiff
Securities & Exchange Commission
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